REQUEST FOR PROPOSAL
RFP/BSP 2018-002

The Guam Coastal Management Program (GCMP), Bureau of Statistics and Plans (BSP), Government of Guam is seeking proposals for services to: 1) develop and conduct stakeholder engagements and surveys; and 2) to perform a detailed inventory of existing public access statutes for the purpose of developing a comprehensive Public Access Management Plan (PAMP). The PAMP will strengthen the protection and enhancement of current public access corridors and development of new corridors as opportunities arise.

All entities interested in submitting a proposal may pick up copies of the Request for Proposals (RFP) and Scope of Work beginning Friday, August 31, 2018 at the Director's Office, Bureau of Statistics and Plans, located at the Ricardo J. Bordallo Governor's Complex, Adelup, 513 West Marine Corps Drive, Hagatña, between 8:00 A.M. and 5:00 P.M., Monday through Friday. Electronic copies of the RFP may be obtained by emailing Edwin Reyes, GCMP Administrator at edwin.reyes@bsp.guam.gov or Esther Taitague, GCMP Planner at esther.taitague@bsp.guam.gov. General Services Agency (GSA) recommends that prospective bidders or proposers register contact information with the BSP to ensure they receive any notices regarding any changes or updates to the IFB/RFP. GSA shall not be liable for failure to provide notice to any party who did not register contact information.

All proposals must be submitted to the Bureau of Statistics and Plans Director's Office no later than 5:00 p.m. Tuesday, September 18, 2018.

This activity is supported by funding through the U.S. Department of Commerce National Oceanic and Atmospheric Administration for Guam and administered through the Bureau of Statistics and Plans Guam Coastal Management Program. This advertisement was paid for by federal funds through GCMP under grant number NA16NOS4190187.

For additional information, please contact Mr. Edwin Reyes, GCMP Administrator, at 475-9672 or via email at edwin.reyes@bsp.guam.gov.

/s/ CARL V. DOMINGUEZ
Director
Bureau of Statistics and Plans
REQUEST FOR PROPOSAL
Public Access Stakeholder Engagement and Inventory

I. SERVICES REQUIRED

The Guam Coastal Management Program (GCMP), Bureau of Statistics and Plans (BSP), Government of Guam is seeking proposals for services to: 1) develop and conduct stakeholder engagements and surveys; and 2) to perform a detailed inventory of existing public access statutes for the purpose of developing a comprehensive Public Access Management Plan (PAMP). The PAMP will strengthen the protection and enhancement of current public access corridors and development of new corridors as opportunities arise.

All deliverable products including final revisions must be completed and delivered to the Bureau of Statistics and Plans Director’s Office, located at the Ricardo J. Bordallo Governor’s Complex, Adelup, 513 West Marine Corps Drive, Hagåtña, no later than Monday, September 30, 2019, 5:00 p.m. (Ch.ST).

II. DESCRIPTION OF WORK

A. Complete all work as described in the Scope of Work, Attachment A.

B. Work with the GCMP Administrator and staff, and networking agencies to complete the tasks listed in the Scope of Work.

C. Contractor shall prepare draft, final concepts and products; and furnish all material as set out within the Scope of Work.

D. Milestones

<table>
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<tr>
<th>EVENT</th>
<th>DATE</th>
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<tbody>
<tr>
<td>RFP Issue Date</td>
<td>Friday, August 31, 2018</td>
</tr>
<tr>
<td>Pre-proposal Conference</td>
<td>None scheduled at this time</td>
</tr>
<tr>
<td>Proposal Due Date</td>
<td>Tuesday, September 18, 2018</td>
</tr>
<tr>
<td>Project Deliverables Due Date</td>
<td>Monday, September 30, 2019 (Ch.ST)</td>
</tr>
</tbody>
</table>

III. OTHER SPECIFICATIONS

In submitting a written proposal to provide the services indicated herein, respondents shall include the following:

A. Submittals shall include but are not limited to, a detailed plan of the methodology for accomplishing the work, a description of experience, and a portfolio of work and expertise as it relates to the work effort required.
B. Name and address of the consultant's local and main (if any) offices, including the telephone/facsimile number of the person to be contacted relative to this proposal.

C. A list of other contracts under which services similar in scope, size, or discipline to required services were performed or undertaken within a period of the last five years.

D. Any additional information which the firm or individual feels will aid the government in accurately determining their qualifications.

E. A statement designating those portions of the proposal which contain trade secrets or propriety data, if any, that must remain confidential.

IV. EVALUATION OF PROPOSALS

All proposals submitted in response to this request for proposal shall be uniformly evaluated. After receipt of all proposals, an evaluation committee will be convened to review and evaluate the proposals according to the following criteria based on a maximum possible value of 100 points. In the evaluation, rating and selection of proposals, the factors and their relative importance will be as follows:

<table>
<thead>
<tr>
<th>EVALUATION CRITERIA</th>
<th>Value</th>
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<tbody>
<tr>
<td>A. Experience in successfully completing similar projects directly related to Coastal Zone Management Programs</td>
<td>30 points</td>
</tr>
<tr>
<td>B. Direct Experience with Coastal Zone Management Programs and capability of principal applicant and key personnel.</td>
<td>25 points</td>
</tr>
<tr>
<td>C. The proposal indicates a clear understanding of the project and is appropriate to the scope of work.</td>
<td>20 points</td>
</tr>
<tr>
<td>D. The work plan is clear, logically sequenced and addresses the entire scope of work, with timely benchmarks for results.</td>
<td>25 points</td>
</tr>
<tr>
<td>MAXIMUM POINTS</td>
<td>100 points</td>
</tr>
</tbody>
</table>

V. SUBMISSION OF PROPOSAL, SELECTION AND NOTIFICATION

A. A digital and six (6) paper copies, color when appropriate, of the proposal including the resume, cover letter, qualifications statements and methodology shall be submitted to and received at the Bureau of Statistics and Plans, Ricardo J. Bordallo Governor's Complex, Adelup, 513 West Marine Corps Drive, Hagåtña, Guam, 96910, no later than 4:00 P.M. (ChST), Guam time, Tuesday, September 18, 2018, and shall remain unopened until a date set by the Selection Committee. The Administrative Services Officer of the Bureau of Statistics and Plans shall be responsible for the custody of the proposal to ensure the integrity of the process.
B. The Director of the Bureau of Statistics and Plans shall handle all communication with prospective consultants to ensure continuity of information, and copies of such communication shall be available to all members of the Selection Committee. Communications should be directed to the Director, Bureau of Statistics and Plans, Ricardo J. Bordallo Governor's Complex, Adelup, 513 West Marine Corps Drive, Hagátña, Guam, 96910, telephone number 671-472-4201/2/3 or facsimile number 671-477-1812.

C. The Selection Committee will be composed of staff from the Bureau of Statistics and Plans, the GCMP, and or networking agencies, which will set the date for opening the RFP's. No modifications to the proposals submitted will be allowed after the cutoff day and time.

D. Selection of the top three qualified respondents shall be determined no later than thirty (30) calendar days following the opening of proposals and a letter shall be sent to the respondents indicating the status of their selection. In accordance with Guam's procurement laws and as soon as practicable, the Director or his designees shall negotiate fees and terms with the respondent, starting first with the highest ranking respondent until agreement with the prospective respondent is achieved. Within one week after successful fees negotiations, all other respondents shall be notified of their non-selection.

E. All respondents shall indicate in their proposals their name, mailing address, telephone and facsimile numbers to be contacted relative to the proposal submitted.

F. Respondents shall not submit any cost or pricing data with their proposal until such time as the proposer is notified, in writing, that the proposal is acceptable and deemed to be the best qualified to provide the required services. The applicant shall then submit their cost and pricing data, in writing.

VI. REQUIRED AFFIDAVITS, ASSURANCES, AND CERTIFICATION

Each offeror is required to submit a copy of their Guam Business License, required affidavits, assurances, and certification attached. Failure to include said documents shall render a proposal non-responsive.

A. Form CD-511: Certification Regarding Lobbying
B. Affidavit Disclosing Ownership and Commissions
C. Affidavit re Non-Collusion
D. Affidavit re No Gratuities or Kickbacks
E. Affidavit re Ethical Standards
F. Declaration re Compliance with US. DOL Wage Determination
G. Affidavit re Contingent Fees
H. Assurances – Non-Construction Programs
VII. RESTRICTION AGAINST SEXUAL OFFENDORS WORKING ON GOVERNMENT PROPERTY

The service provider warrants that no person in its employment who has been convicted of a sex offense under the provisions of Chapter 25 of Title 9 of the Guam Code Annotated, or of an offense defined in Article 2 of Chapter 28 of Title 9 of the Guam Code Annotated, or who has been convicted of an offense with the same elements as heretofore defined in any other jurisdiction, or who is listed on the Sex Offender Registry shall provide services on behalf of the service provider while on government of Guam property, with the exception of public highways. If any employee of the service provider is providing services on government property and is convicted subsequent to an award of a contract, then the service provider warrants that it will notify the Government of the conviction within twenty-four hours of the conviction, and will remove immediately such convicted person from providing services on government property. If the service provider is found to be in violation of any of the provisions of this paragraph, then the Government will give notice to the service provider to take corrective action. The service provider shall take corrective action within twenty-four hours of notice from the Government, and the service provider shall notify the Government when action has been taken. If the service provider fails to take corrective steps within twenty-four hours of notice from the Government, then the Government in its sole discretion may suspend temporarily any contract for services until corrective action has been taken.

VIII. CANCELLATION OF REQUEST FOR PROPOSALS

The Bureau of Statistics and Plans reserves the right to cancel this solicitation and any oral proposals that may be accepted, modified, or rejected in whole or in part. All proposals must be submitted to the Bureau of Statistics and Plans Director's Office no later than 4:00 P.M., Tuesday, September 18, 2018. For additional information, please contact Mr. Edwin Reyes, GCMP Administrator at 475-9672 or fax 475-4512.

/s/ CARL V. DOMINGUEZ
Director
Bureau of Statistics and Plans
Attachment A
Scope of Work
Public Access Stakeholder Engagement and Inventory

I. BACKGROUND AND PURPOSE

Access and use of Guam’s shorelines has been critical to Guam’s traditional local culture. There is a significant need to obtain information on current attitudes and educate the community on public access policies that will strengthen the protection and enhancement of current public access corridors and development of new corridors as opportunities arise. In accordance to 21 GCA Real Property, Ch. 65 Public Access to the Ocean Shore, “The ocean shore is a vital part of the patrimony of the people of Guam and is a major source of recreation and livelihood; that extensive areas of ocean shore are inaccessible to the public due to the absence of public rights-of-way; and that the absence of public access to ocean shores constitutes an infringement upon the fundamental right of free movement in public space and access to and use of coastal recreational areas.”

The purpose of this project is to develop and conduct stakeholder surveys; and to conduct a detailed inventory and analysis of the relevant policies, statutes, regulations and programs affecting public access. The outcome of this project will serve as a foundation in the development of a comprehensive Public Access Management Plan (PAMP).

II. CONTRACTUAL SERVICES

TASK 1. Stakeholder Engagement and Survey

Input would be gathered using various methods including informal focus group discussions and formal surveys. The methods used should account for a potentially wide range of interest in the subject. The following list of stakeholders would be contacted: Dept. of Parks and Recreation, Dept. of Land Management Planning Division, Dept. of Public Works Building Permits and Rights of Way Divisions, National Parks Service, U.S. Fish and Wildlife Service National Wildlife Refuge, Dept. of Defense, historic and cultural organizations, natural resources and environmental organizations, hiking, fishing, and water recreation organizations.

Activity Goals: To engage and inform all stakeholders who have an interest in the public’s access to the ocean shore about the project and seek their input; to gather information of their perception of the status of public access from stakeholders and members of the general public.

Objectives:
1. Develop scope of work (SOW) and research design for this project.
2. Conduct outreach meetings to introduce the PAMP project and gather input from stakeholders on the state of Public Access.
3. Engage Govt. of Guam agencies like DPR in becoming active partners in the project.
4. Conduct a survey of the wider community for their views on various issues related to the public's access to the ocean shore. Such information should include but not be limited to the frequency of use, types of activities, areas most used, their perception of the status of public access and issues affecting access.
5. Compile data gathered from stakeholders for use in the next phase of the project.
6. Draft written report documenting the findings of the stakeholder engagement and survey.

**TASK 2. Conduct a Detailed Inventory and Analysis of the Relevant Policies, Statutes, Regulations and Programs Affecting Public Access**

A crucial part of the development of a public access management plan will be to perform a detailed inventory of existing public access policies, statutes, regulations and programs to analyze their effectiveness and to draft revisions as necessary for submittal to the appropriate government bodies for adoption.

**Activity Goals:**
Perform a detailed inventory of existing public access policies, statutes, regulations and programs to analyze their effectiveness and draft proposed changes for adoption.

**Objectives:**
1. Conduct data gathering of existing public access policies, statutes, regulations and programs.
2. Conduct detailed analysis of the effectiveness of existing public access statutes, regulations and programs and draft report on results for review. This should include how they are implemented and what are the gaps.
3. Draft recommended revisions necessary to policies, statutes, regulations, programs and standard operating procedures.
4. Draft written report documenting the results of this phase of the project including revisions of policies, statutes, regulations, programs and standard operating procedures.

**DELIVERABLES**

A. Written and electronic reports of Task 1 and Task 2
   1. Digital file: 1 each
   2. Paper copies: 4 each full color
CERTIFICATION REGARDING LOBBYING

Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 15 CFR Part 28, 'New Restrictions on Lobbying.' The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce determines to award the covered transaction, grant, or cooperative agreement.

LOBBRYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, for persons entering into a grant, cooperative agreement or contract over $100,000 or a loan or loan guarantee over $150,000 as defined at 15 CFR Part 28, Sections 28.105 and 28.110, the applicant certifies that to the best of his or her knowledge and belief, that:

1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure occurring on or before October 23, 1996, and of not less than $11,000 and not more than $110,000 for each such failure occurring after October 23, 1996.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above applicable certification.

* NAME OF APPLICANT

* AWARD NUMBER

* PROJECT NAME

Prefix: * FirstName: Middle Name: * Last Name: * Title:  
Suffix:

* SIGNATURE:  
Completed by Grants.gov upon submission.

* DATE:  
Completed by Grants.gov upon submission.
AFFIDAVIT DISCLOSING OWNERSHIP AND COMMISSIONS

CITY OF ___________________ )
) ss.
ISLAND OF GUAM )

A. I, the undersigned, being first duly sworn, depose and say that I am an authorized representative of the offeror and that [please check only one]:

☐ The offeror is an individual or sole proprietor and owns the entire (100%) interest in the offering business.

☐ The offeror is a corporation, partnership, joint venture, or association known as ___________________ [please state name of offeror company], and the persons, companies, partners, or joint venturers who have held more than 10% of the shares or interest in the offering business during the 365 days immediately preceding the submission date of the proposal are as follows [if none, please so state]:

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<tr>
<th>Name</th>
<th>Address</th>
<th>% of Interest</th>
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</table>

B. Further, I say that the persons who have received or are entitled to receive a commission, gratuity or other compensation for procuring or assisting in obtaining business related to the bid or proposal for which this affidavit is submitted are as follows [if none, please so state]:

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<tr>
<th>Name</th>
<th>Address</th>
<th>Compensation</th>
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C. If the ownership of the offering business should change between the time this affidavit is made and the time an award is made or a contract is entered into, then I promise personally to update the disclosure required by 5 CCA §5233 by delivering another affidavit to the government.

______________________________
Signature of one of the following:

Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me
this ____ day of _________________, 20__.

______________________________
NOTARY PUBLIC
My commission expires: ________________

AG Procurement Form 002 (Rev. Nov. 17, 2005)
AFFIDAVIT re NON-COLLUSION

CITY OF __________________ )
) ss.
ISLAND OF GUAM

________________________ [state name of affiant signing below], being first duly sworn, deposes and says that:

1. The name of the offering company or individual is [state name of company]

2. The proposal for the solicitation identified above is genuine and not collusive or a sham. The offeror has not colluded, conspired, connived or agreed, directly or indirectly, with any other offeror or person, to put in a sham proposal or to refrain from making an offer. The offeror has not in any manner, directly or indirectly, sought by an agreement or collusion, or communication or conference, with any person to fix the proposal price of offeror or of any other offeror, or to fix any overhead, profit or cost element of said proposal price, or of that of any other offeror, or to secure any advantage against the government of Guam or any other offeror, or to secure any advantage against the government of Guam or any person interested in the proposed contract. All statements in this affidavit and in the proposal are true to the best of the knowledge of the undersigned. This statement is made pursuant to 2 GAR Division 4 § 3126(b).

3. I make this statement on behalf of myself as a representative of the offeror, and on behalf of the offeror’s officers, representatives, agents, subcontractors, and employees.

________________________
Signature of one of the following:
Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me

this _____ day of ____________, 201__.

________________________
NOTARY PUBLIC
My commission expires ____________, _____.

AG Procurement Form 003 (Jul. 12, 2010)
AFFIDAVIT re NO GRATUITIES or KICKBACKS

CITY OF ______________________

) ss.

ISLAND OF GUAM 

______________________________ [state name of affiant signing below], being first duly sworn, deposes and says that:

1. The name of the offering firm or individual is [state name of offeror company] ___________________________. Affiant is ___________________________ [state one of the following: the offeror, a partner of the offeror, an officer of the offeror] making the foregoing identified bid or proposal.

2. To the best of affiant’s knowledge, neither affiant, nor any of the offeror’s officers, representatives, agents, subcontractors, or employees have violated, are violating the prohibition against gratuities and kickbacks set forth in 2 GAR Division 4 § 11107(e). Further, affiant promises, on behalf of offeror, not to violate the prohibition against gratuities and kickbacks as set forth in 2 GAR Division 4 § 11107(e).

3. To the best of affiant’s knowledge, neither affiant, nor any of the offeror’s officers, representatives, agents, subcontractors, or employees have offered, given or agreed to give, any government of Guam employee or former government employee, any payment, gift, kickback, gratuity or offer of employment in connection with the offeror’s proposal.

4. I make these statements on behalf of myself as a representative of the offeror; and on behalf of the offeror’s officers, representatives, agents, subcontractors, and employees.

__________________________________________
Signature of one of the following:
Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me

this _____ day of _____________, 200__.

______________________________
NOTARY PUBLIC
My commission expires _________________, ______.

AG Procurement Form 004 (Jul. 12, 2010)
AFFIDAVIT RE ETHICAL STANDARDS

CITY OF ____________________  )
) ss.
ISLAND OF GUAM  )

________________________________________ [state name of affiant signing below], being first
duly sworn, deposes and says that:

The affiant is ____________________________ [state one of the following: the offeror, a partner of the offeror, an officer of the offeror] making the foregoing identified bid or proposal. To the best of affiant's knowledge, neither affiant nor any officers, representatives, agents, subcontractors or employees of offeror have knowingly influenced any government of Guam employee to breach any of the ethical standards set forth in 5 GCA Chapter 5, Article 11. Further, affiant promises that neither he or she, nor any officer, representative, agent, subcontractor, or employee of offeror will knowingly influence any government of Guam employee to breach any ethical standards set forth in 5 GCA Chapter 5, Article 11. These statements are made pursuant to 2 GAR Division 4 § 11103(b).

________________________________________
Signature of one of the following:
Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me
this _____ day of ____________, 201__.

NOTARY PUBLIC
My commission expires ________________, ______.

AG Procurement Form 005 (Jul. 12, 2010)
DECLARATION RE COMPLIANCE WITH U.S. DOL WAGE DETERMINATION

Procurement No.: __________________________

Name of Offeror Company: ____________________________

I, ____________________________ hereby certify under penalty of perjury:

(1) That I am ____________________________ [please select one: the offeror, a partner of the offeror, an officer of the offeror] making the bid or proposal in the foregoing identified procurement;

(2) That I have read and understand the provisions of 5 GCA § 5801 and § 5802 which read:

§ 5801. Wage Determination Established.

In such cases where the government of Guam enters into contractual arrangements with a sole proprietorship, a partnership or a corporation ("contractor") for the provision of a service to the government of Guam, and in such cases where the contractor employs a person(s) whose purpose, in whole or in part, is the direct delivery of service contracted by the government of Guam, then the contractor shall pay such employee(s) in accordance with the Wage Determination for Guam and the Northern Mariana Islands issued and promulgated by the U.S. Department of Labor for such labor as is employed in the direct delivery of contract deliverables to the government of Guam.

The Wage Determination most recently issued by the U.S. Department of Labor at the time a contract is awarded to a contractor by the government of Guam shall be used to determine wages, which shall be paid to employees pursuant to this Article. Should any contract contain a renewal clause, then at the time of renewal adjustments, there shall be made stipulations contained in that contract for applying the Wage Determination, as required by this Article, so that the Wage Determination promulgated by the U.S. Department of Labor on a date most recent to the renewal date shall apply.

§ 5802. Benefits.

In addition to the Wage Determination detailed in this Article, any contract to which this Article applies shall also contain provisions mandating health and similar benefits for employees covered by this Article, such benefits having a minimum value as detailed in the Wage Determination issued and promulgated by the U.S. Department of Labor, and shall contain provisions guaranteeing a minimum of ten (10) paid holidays per annum per employee.

(3) That the offeror is in full compliance with 5 GCA § 5801 and § 5802, as may be applicable to the procurement referenced herein;

(4) That I have attached the most recent wage determination applicable to Guam issued by the U.S. Department of Labor. [INSTRUCTIONS - Please attach!]

Signature

AG Procurement Form 006 (Feb. 16, 2010)
AFFIDAVIT re CONTINGENT FEES

CITY OF ____________________________ )
) ss.
ISLAND OF GUAM )

______________________________ [state name of affiant signing below], being first duly sworn, deposes and says that:

1. The name of the offering company or individual is [state name of company]

2. As a part of the offering company’s bid or proposal, to the best of my knowledge, the offering company has not retained any person or agency on a percentage, commission, or other contingent arrangement to secure this contract. This statement is made pursuant to 2 GAR Division 4 11108(f).

3. As a part of the offering company’s bid or proposal, to the best of my knowledge, the offering company has not retained a person to solicit or secure a contract with the government of Guam upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except for retention of bona fide employees or bona fide established commercial selling agencies for the purpose of securing business. This statement is made pursuant to 2 GAR Division 4 11108(h).

4. I make these statements on behalf of myself as a representative of the offeror, and on behalf of the offeror’s officers, representatives, agents, subcontractors, and employees.

__________________________________________
Signature of one of the following:
Offeror, if the offeror is an individual;
Partner, if the offeror is a partnership;
Officer, if the offeror is a corporation.

Subscribed and sworn to before me

this _____ day of ________________, 201__.

__________________________________________
NOTARY PUBLIC
My commission expires _____________, ______.

AG Procurement Form 007 (Jul. 15, 2010)
ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.

2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.

3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM’s Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).

6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).


14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm-blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.